

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Serial No.: 10/694,872 Confirmation No.: 3695  
Applicant: Nigel J. Renton, et al.  
Title: SYSTEM AND METHOD FOR MANAGING THE EXECUTION OF  
TRADES BETWEEN MARKET MAKERS  
Filed: October 28, 2003  
Art Unit: 3695  
Examiner: Irene Kang  
Atty. Docket: 03-6171  
Customer No. 63710

**AMENDMENT IN REPLY TO RESTRICTION REQUIREMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Applicant replies to the Notice of Non-Compliant Amendment of June 17, 2009.

As discussed below, the Office paper was issued in contravention of Office regulations and several statutes, and is therefore void. 44 U.S.C. § 3512 specifically provides that the PTO may not charge any fee for reply to that paper, and thus no extension of time fee is required.

Nonetheless, if and only if the Technology Center Director signs off that the September 2008 paper was validly issued in spite of the defects noted, Applicant petitions for a three-month extension of time to reply to the Office paper of June 17, 2009, to and including October 19, 2009 (October 17 was a Saturday). Only if and when the T.C. Director so signs off, kindly charge the petition fee to Deposit Account No. 50-3938, Order No. 03-6171.

**AMENDMENTS TO THE TITLE** begin on page 2 of this paper.

**AMENDMENTS TO THE CLAIMS** begin on page 3 of this paper.

**REMARKS/ARGUMENTS** begin on page 36 of this paper.